

Office of Management and Budget NCURA – FRA and PRA March 2022

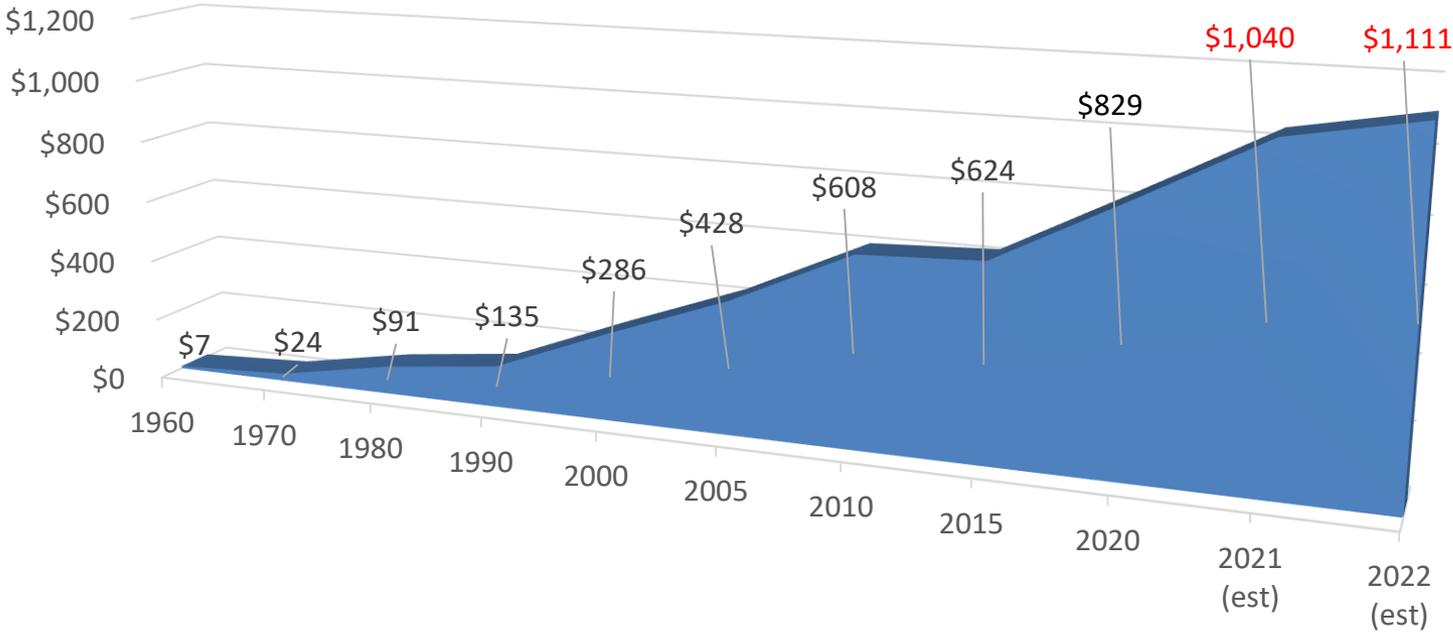


Growth of Grant Funding Over Time

**does not include COVID funding*



Grant Growth (Dollars in Billions)

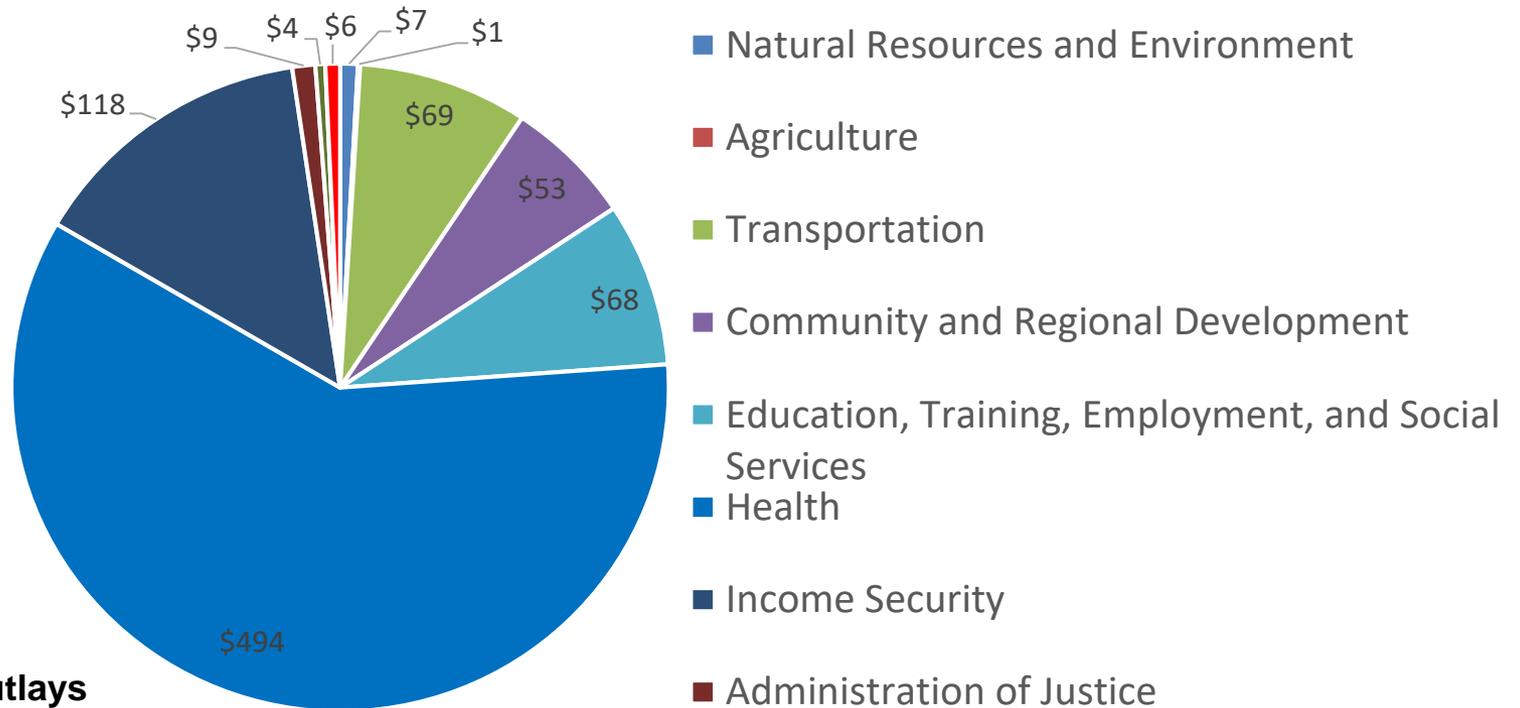


■ Dollars of Grants

Source: President's Budget: Analytics Perspectives: 11.1- TRENDS IN FEDERAL GRANTS TO STATE AND LOCAL GOVERNMENTS



Distribution of Grants by Function (in Billions) 2020 Outlays



**Federal grant Outlays
= \$829 Billions**

Source: OMB - Table 11-1. TRENDS IN FEDERAL GRANTS TO STATE AND LOCAL GOVERNMENTS



2022 OMB Budget – R&D

178

ANALYTICAL PERSPECTIVES

Table 14-1. FEDERAL RESEARCH AND DEVELOPMENT SPENDING
(Mandatory and discretionary budget authority¹, dollar amounts in millions)

	2020 Actual	2021 Estimate ²	2022 Proposed ³	Dollar Change: 2021 to 2022	Percent Change: 2021 to 2022
By Agency					
Defense ⁴	62,438	63,350	62,800	-550	-1%
Health and Human Services	44,455	43,494	51,232	7,738	18%
Energy	19,476	19,312	21,452	2,140	11%
NASA	14,801	13,226	14,565	1,339	10%
National Science Foundation	6,800	7,408	8,173	765	10%
Agriculture	2,989	2,965	3,609	644	22%
Commerce	1,953	2,122	2,743	621	29%
Veterans Affairs	1,366	1,420	1,498	78	5%
Interior	1,043	1,024	1,339	315	31%
Transportation	1,094	1,033	1,221	188	18%
Homeland Security	532	590	627	37	6%
Environmental Protection Agency	516	524	585	61	12%
Education	237	445	473	28	6%
Smithsonian Institution	344	322	346	24	7%
Other	582	563	597	34	6%
TOTAL	158,626	157,798	171,260	13,462	9%



USASPENDING.gov

The federal government has spent \$3.62 trillion in response to COVID-19.

[View all COVID-19 spending](#)

USAspending is the official open data source of federal spending information.

We track how federal money is spent in communities across America and beyond. Learn more about government spending through interactive tools that explore elements of the federal budget, such as federal loan, grant, and contract data.



OMB Guidance and Resource Highlights



Presidential Directives (January 2021):

- EO 13985 - Advancing Racial Equity and Support for Underserved Communities through the Federal Government
- EO 13990 & Memorandum - *Restoring Trust in Government through Scientific Integrity and Evidence-Based Policymaking*
- EO 14005- *Ensuring the Future Is Made in All of America by All of America's Workers*
- EO 14008 - Tackling the Climate Crisis at Home and Abroad

CECE

Covid, Equity, Climate, Economy

Recent Guidance Highlights:

- 2 CFR 200 (Revised August 12, 2020)
- 2 CFR FAQs - [2CRF-FrequentlyAskedQuestions_2021050321.pdf \(cfo.gov\)](https://www.cfo.gov/2CRF-FrequentlyAskedQuestions_2021050321.pdf)



OMB Guidance and Resource Highlights



Recent OMB Memoranda:

- **M-21-20:** Promoting Public Trust in the Federal Government through Effective Implementation of the American Rescue Plan Act and Stewardship of the Taxpayer Resources – March 19, 2021
- **M-21-26:** Increasing Opportunities for Domestic Sourcing and Reducing the Need for Waivers from Made in America Laws – June 11, 2021
- **M-22-02:** New Financial Assistance Transparency Reporting Requirements – October 26, 2021
- **M-22-08:** Identification of Federal Financial Assistance Infrastructure Programs Subject to Build America, Buy America Provisions of the Infrastructure Investment and Jobs Act – December 15, 2021

Controller Alert: Applicant Eligibility for Financial Assistance and Types of Nonprofit Organizations – November 2021



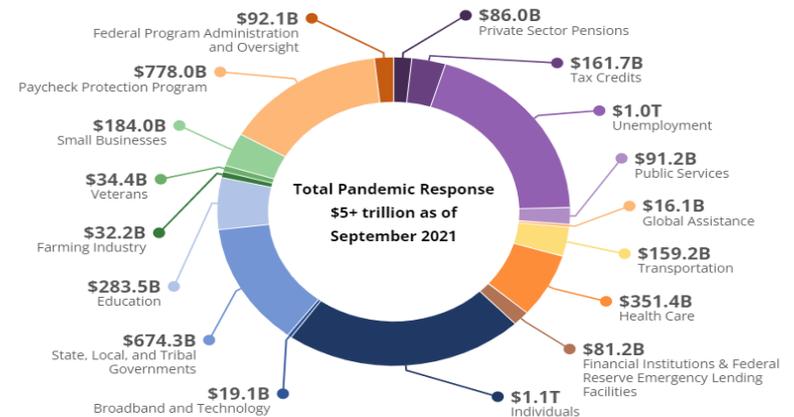
American Rescue Plan Act of 2021 (ARPA)

- 6th COVID Relief Act – P.L.117-2 (P.L. 116-123, 116-127,116-136, 116-139, 116-260)
- \$1.9 Trillion Dollars
 - Assistance to Individuals - \$400 Billion
 - State and Local Support - \$362 Billion
 - Unemployment Insurance - \$246 Billion
 - Health and Vaccines – \$228 Billion
 - Child Care, Head Start - \$194 Billion
 - Other Provisions (Schools) -\$ 173 Billion
 - Tax Credits for Organizations - \$143
 - Civic and Community Infrastructure - \$85 Billion
 - Small Business Administration Programs - \$84 Billion

<https://www.pandemicoversight.gov/>



Where did Pandemic Relief Funds Go?



American Rescue Plan Act of 2021 (ARPA)

Some Unusual Assistance Programs

- Restaurants – \$28.6 billion
- Rental Assistance – \$22.0 billion
- School Re-Openings - \$125 Billion
- Minority Farmers - \$5 Billion
- Music Venues, Event Venues, Cinema Theaters- \$1.25 Billion
- Libraries, Museums - \$470 Million
- Airports, Airlines - \$30 Billion

OMB M-21-20 - March 19, 2021

- Equity-Oriented Results for Financial Assistance
- Subrecipient reporting requirements apply
- Appendix 2
 - 2 CFR applies to ALL recipients
 - Higher Risk program designation
- Appendix 3 –12 Flexibilities
 - Single Audit submission extension – 6 Months

*“We have to prove to the American people that their government can deliver for them, and **do it without waste or fraud;***

*We're going to have to **stay on top of every dollar spent** through the American Rescue Plan.”*

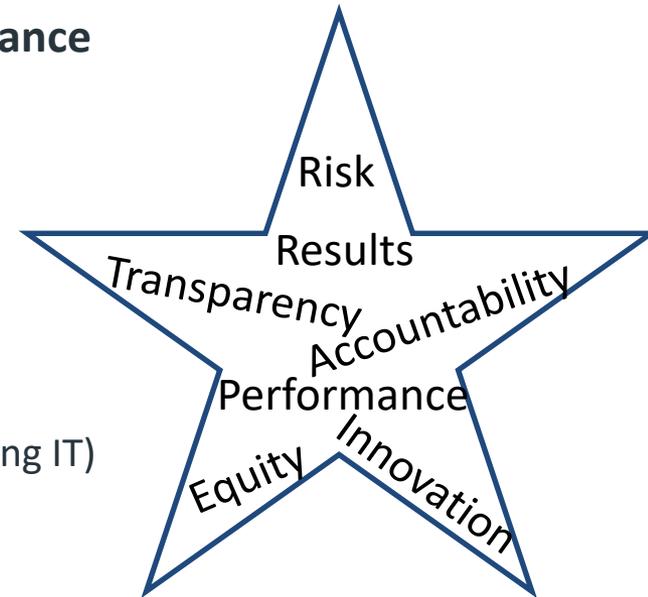
President Biden, March 15, 2021



M-21-20 Equity-Oriented Results Appendix 2

Achieving More Equity-Oriented Results for Financial Assistance

1. Program Planning and Design
2. Public Availability of Notice of Funding Opportunities (NOFOs)
3. *Performance Reporting*
4. Risk Management
5. Case-by-Case Exceptions
6. *Innovative Funding Approaches*
7. Procurement of Common or Shared Goods and Services (Including IT)
8. *Financial Assistance Awards for For-Profit Organizations*
9. Other Types of Federal Financial Assistance
10. Use of Single Audit to Drive Accountability and Transparency



For more resources, agencies may leverage *Managing for Results: The Performance Management Playbook for Federal Awarding Agencies* and other items at <https://www.cfo.gov/financial-assistance>

ARP Implementation Questions: ARP.implementation@omb.eop.gov



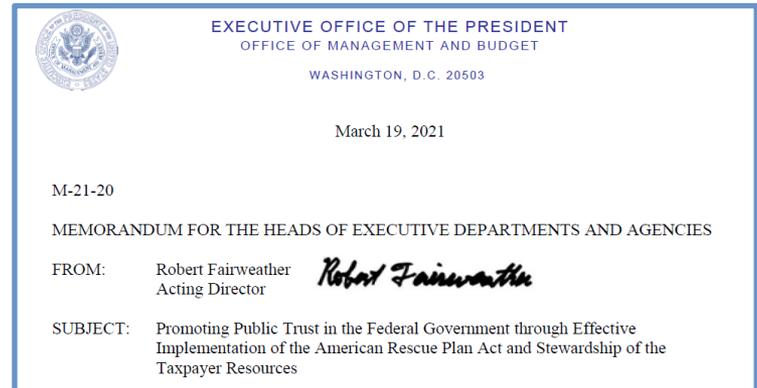
M-21-20 Flexibilities – Appendix 3

The Twelve Flexibilities – Reduce Burden

1. SAM Registration
2. NOFO Publication
3. Pre-award Costs
4. No-Cost Extension
5. Abbreviated Non-Competitive Continuation
6. Prior Approval Requirements
7. Procurement Standards
8. Extension of Financial and Other Reporting
9. Single Audit Extension
10. Application Deadlines
11. Closeout Extension
12. Physical Inventories

M-21-20 Versus M-20-17

- Salaries and Other Project costs
- Canceled Events, Travel costs
- IDC Rate Extension
- + Single Audit Extension
- + Physical Inventories



M-21-20 FAQs

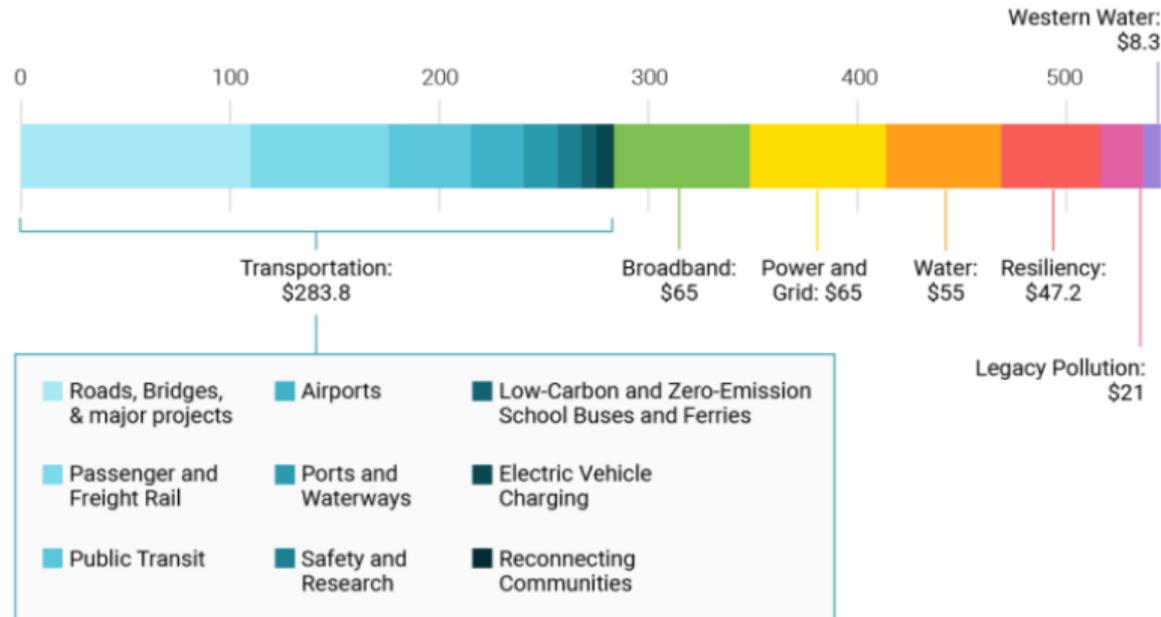
<https://www.cfo.gov/assets/files/M-21-20-FAQs.pdf>

Infrastructure Investment and Jobs Act



Infrastructure Investment and Jobs Act

Figure 1. Topline above-baseline spending in IIJA (billions of USD)



Source: Bipartisan Infrastructure Investment and Jobs Act Summary

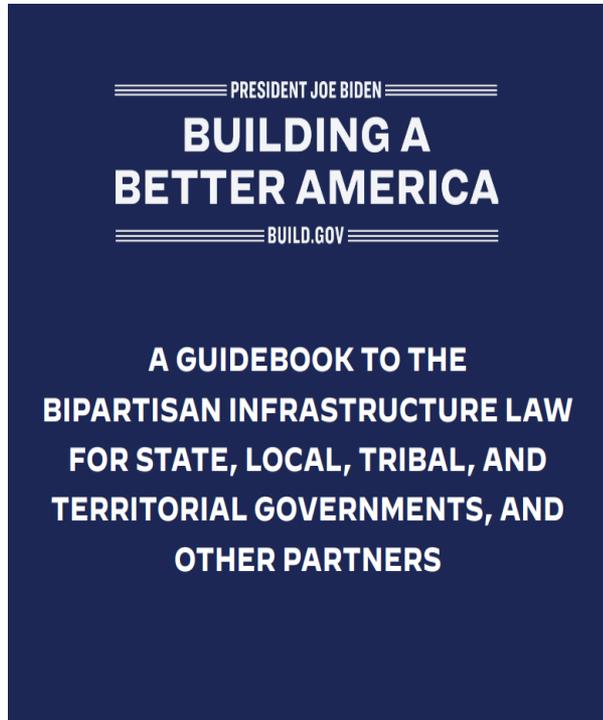
B Metropolitan Policy Program
at BROOKINGS

Funds by Agency - \$Billions

• DOT	274
• EPA	75
• Energy	65
• Commerce	51
• Interior	32
• Army CE	17
• FCC	14
• USDA	8
• Homeland	8
• HHS	4
• GSA	3
• Independent	1
• Treasury	.6
• EXOP	.02
Total	551



Infrastructure Investment and Jobs Act



https://www.whitehouse.gov/wp-content/uploads/2022/01/BUILDING-A-BETTER-AMERICA_FINAL.pdf



Updates to 2 CFR – Uniform Guidance

What is the OMB Uniform Guidance (UG)?

- A set of authoritative rules and regulations about Federal grants, located in Title 2 of the Code of Federal Regulations (2 CFR)
 - Several circulars were combined into one uniform document
 - Designed to keep everyone in the grants community on the same page
- **December 26, 2013:** UG created
- **December 19, 2014:** UG adopted by Federal grant-making agencies
 - OMB must review UG every 5 years (2 CFR 200.209)
- **April 2019:** Began first major revision with interagency workgroup led by OMB
- **January 2020:** Submitted to Federal register public for comments
 - 2500 comments from public, agencies, IGs
- **August 2020:** finalized by statutory deadline



A screenshot of the Federal Register website showing the page for "Guidance for Grants and Agreements". The page is titled "A Rule by the Management and Budget Office on 08/13/2020". It includes sections for ACTION, SUMMARY, DATES, FOR FURTHER INFORMATION CONTACT, and SUPPLEMENTARY INFORMATION. The SUMMARY section states that the Office of Management and Budget (OMB) is revising sections of OMB Guidance for Grants and Agreements. The DATES section indicates that the revisions are effective November 12, 2020, except for amendments to 2 CFR 200.209 and 200.349, which are effective on August 13, 2020. The FOR FURTHER INFORMATION CONTACT section lists Nicole Waldeck or Gill Tran at the OMB Office of Federal Financial Management. The SUPPLEMENTARY INFORMATION section includes a sub-section for "Background and Objectives" which mentions a partnership with the Council on Financial Assistance Reform (COFAR) to revise and streamline guidance to develop the Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards (Uniform Guidance) located in title 2 of the Code of Federal Regulations. The page also features a "Printed version" link, "Publication Date", "Agency", "Effective Date", "Document Type", "Document Citation", "Page", "CFR", and "Document Number" information.

PUBLIC SUBMISSION

As of: 2/11/20 12:22 PM
Received: January 23, 2020
Status: Posted
Posted: February 06, 2020
Tracking No. 1k4-9elv-mksv
Comments Due: March 23, 2020
Submission Type: Web

Docket: OMB-2019-0005
Guidance for Grants and Agreements

Comment On: OMB-2019-0005-0001
Guidance for Grants and Agreements

Document: OMB-2019-0005-0002
Comment on FR Doc # 2019-28524

Submitter Information

Name: Allyson Baehr

General Comment

I am thrilled to see these proposed changes and I fully support their implementation. Thank you!



Revisions to 2 CFR – Uniform Guidance

- **Scopes of the revision:**

1. Supporting of implementation of the Administration priorities
2. Meeting statutory requirements and to align with other authoritative source requirements
3. Clarifying existing requirements

- **Effective Dates**

- 200.216, 200.340, 200.471 – August 13, 2020
- Other Sections – November 12, 2020



DATES: These revisions to the guidance are effective November 12, 2020, except for the amendments to §§ 200.216 and 200.340, which are effective on August 13, 2020.	Page: 49506-49582 (77 pages)
FOR FURTHER INFORMATION CONTACT: Nicole Waldeck or Gil Tran at the OMB Office of Federal Financial Management at GrantsTeam@omb.eop.gov or 202-395-3993.	CFR: 2 CFR 25 2 CFR 170 2 CFR 183 2 CFR 200
SUPPLEMENTARY INFORMATION: Background and Objectives In 2013, OMB partnered with the Council on Financial Assistance Reform (COFAR) to revise and streamline guidance to develop the Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards (Uniform Guidance) located in title 2 of the Code of Federal Regulations (CFR) parts 200, 201, 202, 205, 208, 209, 210, 212, 215, 217, 219, 220, 221, 223, 224, 225, 226, 227, 228, 229, 230, 231, 232, 233, 234, 235, 236, 237, 238, 239, 240, 241, 242, 243, 244, 245, 246, 247, 248, 249, 250, 251, 252, 253, 254, 255, 256, 257, 258, 259, 260, 261, 262, 263, 264, 265, 266, 267, 268, 269, 270, 271, 272, 273, 274, 275, 276, 277, 278, 279, 280, 281, 282, 283, 284, 285, 286, 287, 288, 289, 290, 291, 292, 293, 294, 295, 296, 297, 298, 299, 300, 301, 302, 303, 304, 305, 306, 307, 308, 309, 310, 311, 312, 313, 314, 315, 316, 317, 318, 319, 320, 321, 322, 323, 324, 325, 326, 327, 328, 329, 330, 331, 332, 333, 334, 335, 336, 337, 338, 339, 340, 341, 342, 343, 344, 345, 346, 347, 348, 349, 350, 351, 352, 353, 354, 355, 356, 357, 358, 359, 360, 361, 362, 363, 364, 365, 366, 367, 368, 369, 370, 371, 372, 373, 374, 375, 376, 377, 378, 379, 380, 381, 382, 383, 384, 385, 386, 387, 388, 389, 390, 391, 392, 393, 394, 395, 396, 397, 398, 399, 400, 401, 402, 403, 404, 405, 406, 407, 408, 409, 410, 411, 412, 413, 414, 415, 416, 417, 418, 419, 420, 421, 422, 423, 424, 425, 426, 427, 428, 429, 430, 431, 432, 433, 434, 435, 436, 437, 438, 439, 440, 441, 442, 443, 444, 445, 446, 447, 448, 449, 450, 451, 452, 453, 454, 455, 456, 457, 458, 459, 460, 461, 462, 463, 464, 465, 466, 467, 468, 469, 470, 471, 472, 473, 474, 475, 476, 477, 478, 479, 480, 481, 482, 483, 484, 485, 486, 487, 488, 489, 490, 491, 492, 493, 494, 495, 496, 497, 498, 499, 500, 501, 502, 503, 504, 505, 506, 507, 508, 509, 510, 511, 512, 513, 514, 515, 516, 517, 518, 519, 520, 521, 522, 523, 524, 525, 526, 527, 528, 529, 530, 531, 532, 533, 534, 535, 536, 537, 538, 539, 540, 541, 542, 543, 544, 545, 546, 547, 548, 549, 550, 551, 552, 553, 554, 555, 556, 557, 558, 559, 560, 561, 562, 563, 564, 565, 566, 567, 568, 569, 570, 571, 572, 573, 574, 575, 576, 577, 578, 579, 580, 581, 582, 583, 584, 585, 586, 587, 588, 589, 590, 591, 592, 593, 594, 595, 596, 597, 598, 599, 600, 601, 602, 603, 604, 605, 606, 607, 608, 609, 610, 611, 612, 613, 614, 615, 616, 617, 618, 619, 620, 621, 622, 623, 624, 625, 626, 627, 628, 629, 630, 631, 632, 633, 634, 635, 636, 637, 638, 639, 640, 641, 642, 643, 644, 645, 646, 647, 648, 649, 650, 651, 652, 653, 654, 655, 656, 657, 658, 659, 660, 661, 662, 663, 664, 665, 666, 667, 668, 669, 670, 671, 672, 673, 674, 675, 676, 677, 678, 679, 680, 681, 682, 683, 684, 685, 686, 687, 688, 689, 690, 691, 692, 693, 694, 695, 696, 697, 698, 699, 700, 701, 702, 703, 704, 705, 706, 707, 708, 709, 710, 711, 712, 713, 714, 715, 716, 717, 718, 719, 720, 721, 722, 723, 724, 725, 726, 727, 728, 729, 730, 731, 732, 733, 734, 735, 736, 737, 738, 739, 740, 741, 742, 743, 744, 745, 746, 747, 748, 749, 750, 751, 752, 753, 754, 755, 756, 757, 758, 759, 760, 761, 762, 763, 764, 765, 766, 767, 768, 769, 770, 771, 772, 773, 774, 775, 776, 777, 778, 779, 780, 781, 782, 783, 784, 785, 786, 787, 788, 789, 790, 791, 792, 793, 794, 795, 796, 797, 798, 799, 800, 801, 802, 803, 804, 805, 806, 807, 808, 809, 810, 811, 812, 813, 814, 815, 816, 817, 818, 819, 820, 821, 822, 823, 824, 825, 826, 827, 828, 829, 830, 831, 832, 833, 834, 835, 836, 837, 838, 839, 840, 841, 842, 843, 844, 845, 846, 847, 848, 849, 850, 851, 852, 853, 854, 855, 856, 857, 858, 859, 860, 861, 862, 863, 864, 865, 866, 867, 868, 869, 870, 871, 872, 873, 874, 875, 876, 877, 878, 879, 880, 881, 882, 883, 884, 885, 886, 887, 888, 889, 890, 891, 892, 893, 894, 895, 896, 897, 898, 899, 900, 901, 902, 903, 904, 905, 906, 907, 908, 909, 910, 911, 912, 913, 914, 915, 916, 917, 918, 919, 920, 921, 922, 923, 924, 925, 926, 927, 928, 929, 930, 931, 932, 933, 934, 935, 936, 937, 938, 939, 940, 941, 942, 943, 944, 945, 946, 947, 948, 949, 950, 951, 952, 953, 954, 955, 956, 957, 958, 959, 960, 961, 962, 963, 964, 965, 966, 967, 968, 969, 970, 971, 972, 973, 974, 975, 976, 977, 978, 979, 980, 981, 982, 983, 984, 985, 986, 987, 988, 989, 990, 991, 992, 993, 994, 995, 996, 997, 998, 999, 1000.	Document Number: 2020-17468
	DOCUMENT DETAILS
	DOCUMENT STATISTICS
	Page views: 126,960 as of 03/10/2022 at 2:15 pm EST
	DOCUMENT STATISTICS

Major Revisions to 2 CFR

Some revisions include (see preamble for more details):

- **Emphasizing stewardship and results-oriented accountability for grant program results** (§§ 200.102(d), 200.202, 200.205, 200.207, 200.211(a), 200.211(c)(1)(iv), 200.211(c)(1)(v), 200.211(c)(2), §200.301, 200.339(a)(2), Appendix I (A) & (B))
- Standardizing terminology to implement standard data elements (§§ 200.1, 200.207, 200.301, 200.328(c)(2))
- Making indirect cost rates transparent (§ 200.414(h))
- Strengthening merit review requirements for discretionary grants (§ 200.205)
- Eliminating references to agency non-authoritative guidance (§ 200.105)
- **Clarifying the termination provisions** (§ 200.340)

We don't talk about P*****



Grant Performance Management

What do we mean by Performance Management?

- “The use of goals, measurement, evaluation, analysis and data-driven reviews to improve the effectiveness and efficiency of agency operations”

Why does this matter to me?

- Recent revisions to Title 2 of the Code of Federal Regulations

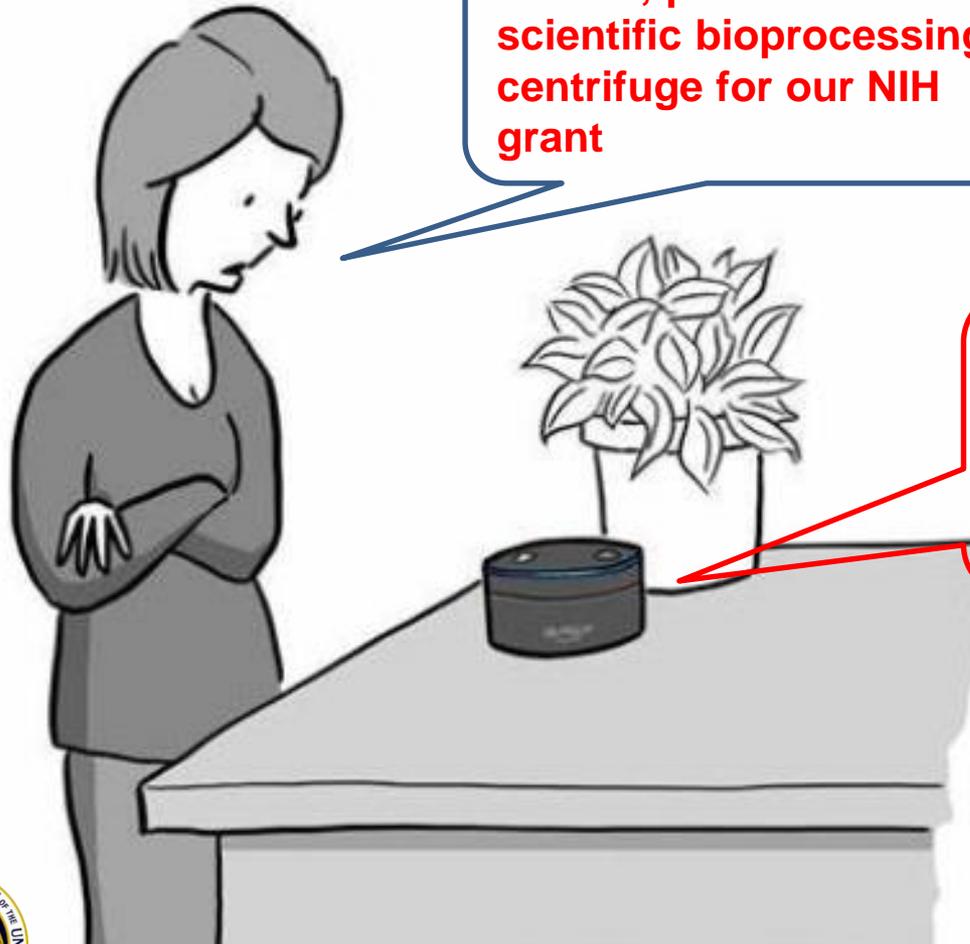
How can I improve program and project performance management to focus on results?

- *Reference: Managing for Results: The Performance Management Playbook for Federal Awarding Agencies*

<https://www.cfo.gov/wp-content/uploads/2021/Managing-for-Results-Performance-Management-Playbook-for-Federal-Awarding-Agencies.pdf>



Procurement “Claw” (Section 200.320)



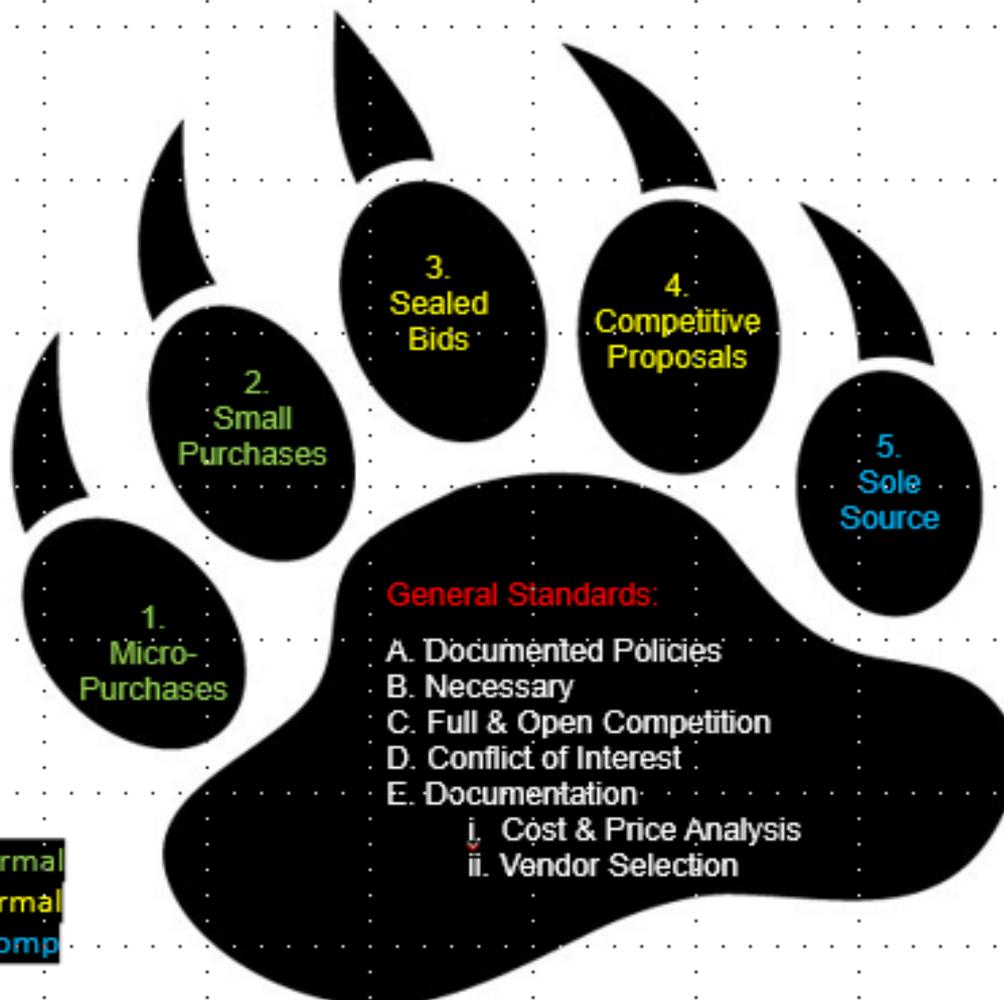
ALEXA, please order a scientific bioprocessing centrifuge for our NIH grant

Sure! The average price of a bioprocessing centrifuge is \$20,000 so I will need at least two quotes. See Tran's Bear Claw!



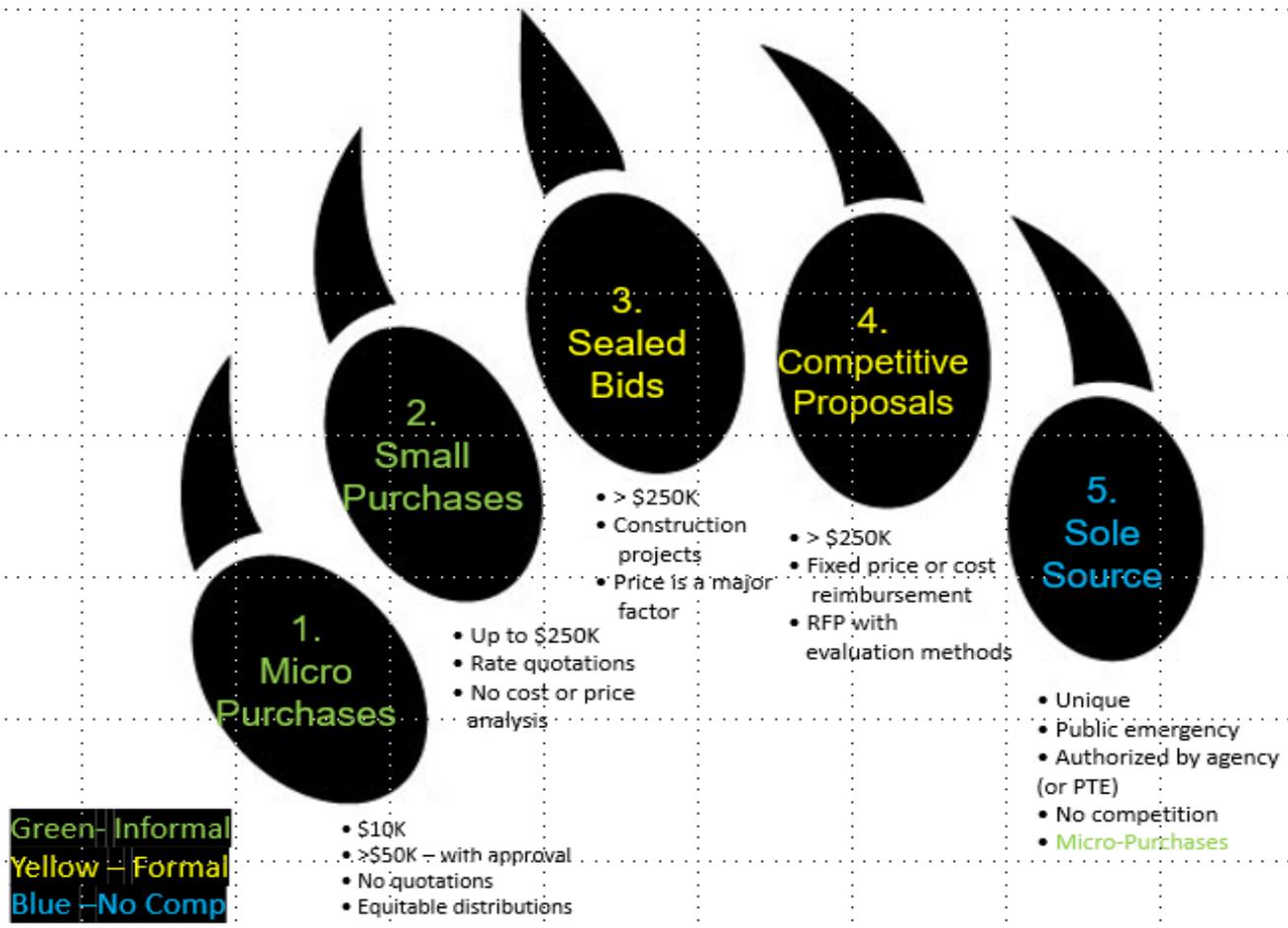
Major Revisions to 2 CFR

Procurement “Claw” (Sections 200.317-326)



Major Revisions to 2 CFR

Procurement "Claw" (Section 200.320)



Major Revisions to 2 CFR

Meet statutory requirements and align with other authoritative sources

Some revisions include (see preamble for more details) aligning with:

- The Federal Acquisition Regulation (FAR) and the 2017 and 2018 National Defense Authorization Acts (NDAA) to raise the micro-purchase threshold from \$3,500 to \$10,000 and the simplified acquisition threshold from \$100,000 to \$250,000 (*§§ 200.319, 200.320*)
- The 2019 NDAA section 889, Prohibition on certain telecommunications and video surveillance services or equipment (*§ 200.216*)
- The General Accepted Accounting Principles (GAAP), specifically the Government Accounting Statements Board (GASB) statement 68 and 45, related to pension costs and depreciation (*§ 200.431*)



Revisions to 2 CFR



USA Today 10/25/19 – Case of Extreme Subcontracting



Major Revisions to 2 CFR

Clarifying existing requirements

Some revisions include (see preamble for more details):

- Codifying some frequently asked questions related to the prior release to 2 CFR (§§ 200.101, 200.332, 200.414)
- Clarifying the responsibilities of the pass-through entity to address only a subrecipient's audit findings related to their specific subaward (§ 200.332)
- Clarifying the documentation requirement for using the de minimis indirect cost rate (§ 200.414)



Major Revisions to 2 CFR

- Frequently Asked Questions

[2CFR-FrequentlyAskedQuestions_2021050321.pdf \(cfo.gov\)](#)

- Additional Information on the 2 CFR Revisions

<https://www.cfo.gov/financial-assistance/resources/uniform-guidance.html>



The Uniform Guidance
– It's Here!



Contact OMB with Questions

Questions - GrantsTeam@omb.eop.gov

Grant Training - <https://www.cfo.gov/grants-training/>

Join our Grant Community

Federal members: to join, use your .gov or .mil email address to email

grantsfed-subscribe-request@listserv.gsa.gov.

Non-federal members: to join, email grantscommunity-subscribe-request@listserv.gsa.gov





Thank You Research Administrators !

